



# CSCI 742 - Compiler Construction

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Lecture 35  
Data-flow Analysis Framework  
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Live variable analysis and available expressions analysis are similar

- Define some information that they need to compute
- Build constraints for the information
- Solve constraints iteratively:
  - Information always “increases” during iteration
  - Eventually, it reaches a fixed point

We would like a general framework

- Framework applicable to many other analyses
- Live variable/available expressions instances of the framework

# Data-flow Analysis Framework

## Data-flow analysis:

- Common framework for many compiler analyses
- Computes some information at each program point
- The computed information characterizes all possible executions of the program

## Basic methodology:

- Describe information about the program using an algebraic structure called a **lattice**
- Build constraints that show how instructions and control flow influence the information in terms of values in the lattice
- Iteratively solve constraints

We start by defining lattices and see some of their properties

# Partial Orders

A relation  $\preceq \subseteq D \times D$  on a set  $D$  is a **partial order** iff  $\preceq$  is

1. Reflexive:  $x \preceq x$
2. Anti-symmetric:  $x \preceq y$  and  $y \preceq x \Rightarrow x = y$
3. Transitive:  $x \preceq y$  and  $y \preceq z \Rightarrow x \preceq z$

- A set with a partial order is called a **poset**

## Examples:

- If  $S$  is a set then  $(P(S), \subseteq)$  is a poset
- $(\mathbb{Z}, \leq)$  is a poset

# Hasse Diagram

- If  $x \preceq y$  and  $x \neq y$ ,  $x$  is predecessor of  $y$
- $x$  **immediate** predecessor of  $y$ : if  $x \preceq y$  and there is no  $z$  such that

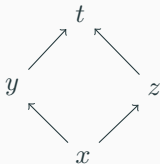
$$x \preceq z \preceq y$$

Hasse diagram:

- Directed acyclic graph where the vertices are elements of the set  $D$
- There exists an edge  $x \rightarrow y$  if  $x$  is an immediate predecessor of  $y$

**Example.**

- $x \preceq y$ ,  $y \preceq t$ ,  $z \preceq t$ ,  $x \preceq z$ ,  $x \preceq t$   
 $x \preceq x$ ,  $y \preceq y$ ,  $z \preceq z$ ,  $t \preceq t$

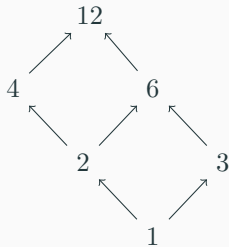


## Exercise

- $D_n = \{\text{all divisors of } n\}$ , with  $d \preceq d' \Leftrightarrow d \mid d'$
- Draw the Hasse diagram for  $D_{12} = \{1, 2, 3, 4, 6, 12\}$

# Exercise

- $D_n = \{\text{all divisors of } n\}$ , with  $d \preceq d' \Leftrightarrow d \mid d'$
- Draw the Hasse diagram for  $D_{12} = \{1, 2, 3, 4, 6, 12\}$



$$D_{12} = \{1, 2, 3, 4, 6, 12\}$$

# Total Order

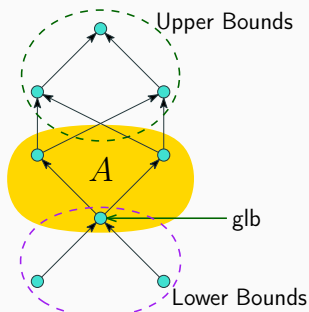
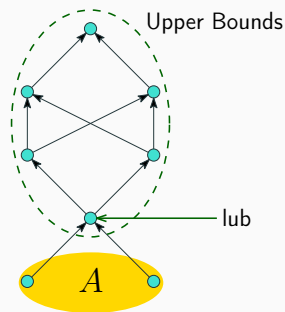
- Partial order: no guarantee that all elements can be compared to each other
- Total order (linear order): If for any two elements  $x$  and  $y$  at least one of  $x \preceq y$  or  $y \preceq x$  is true
- $(\mathbb{N}, \leq)$  is total order
- Hasse diagram is one-track





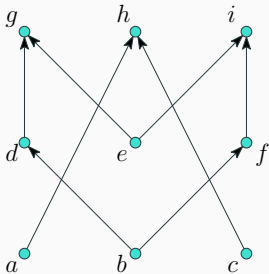
# Subset Bounds

- Let  $(X, \preceq)$  be a poset and let  $A \subseteq X$  be any subset of  $X$
- An element,  $b \in X$ , is a **lower bound** of  $A$  iff  $b \preceq a$  for all  $a \in A$
- An element,  $m \in X$ , is an **upper bound** of  $A$  iff  $a \preceq m$  for all  $a \in A$
- An element,  $b \in X$ , is the **greatest lower bound** (glb) of  $A$  iff the set of lower bounds of  $A$  is nonempty and if  $b$  is the greatest element of this set
- An element,  $m \in X$ , is the **least upper bound** (lub) of  $A$  iff the set of upper bounds of  $A$  is nonempty and if  $m$  is the least element of this set



# Exercise

Find lower/upper bounds and glb/lub for these sets:  $\{b, d\}, \{a, c\}, \{d, e, f\}$

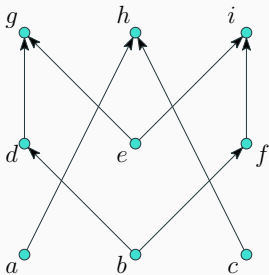


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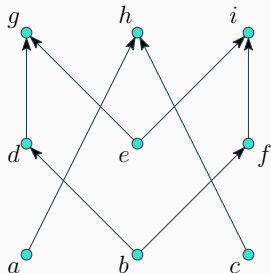
$\{b, d\}$ :

- Lower bounds:  $\{b\}$       glb:  $b$
- Upper bounds:  $\{d, g\}$       lub:  $d$  because  $d \preceq g$



# Exercise

Find lower/upper bounds and glb/lub for these sets:  $\{b, d\}, \{a, c\}, \{d, e, f\}$



$\{b, d\}$ :

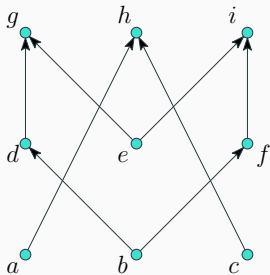
- Lower bounds:  $\{b\}$       glb:  $b$
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$\{a, c\}$ :

- Lower bounds:  $\{\}$       no glb
- Upper bounds:  $\{h\}$       lub:  $h$

# Exercise

Find lower/upper bounds and glb/lub for these sets:  $\{b, d\}, \{a, c\}, \{d, e, f\}$



$\{b, d\}$ :

- Lower bounds:  $\{b\}$       glb:  $b$
- Upper bounds:  $\{d, g\}$       lub:  $d$  because  $d \preceq g$

$\{a, c\}$ :

- Lower bounds:  $\{\}$       no glb
- Upper bounds:  $\{h\}$       lub:  $h$

$\{d, e, f\}$ :

- Lower bounds:  $\{\}$       no glb
- Upper bounds:  $\{\}$       no lub

Poset  $(D, \preceq)$  is called a lattice if

- For any  $x, y \in D$ ,  $\{x, y\}$  has a lub, which is denoted as  $x \sqcup y$  (join)
- For any  $x, y \in D$ ,  $\{x, y\}$  has a glb, which is denoted as  $x \sqcap y$  (meet)

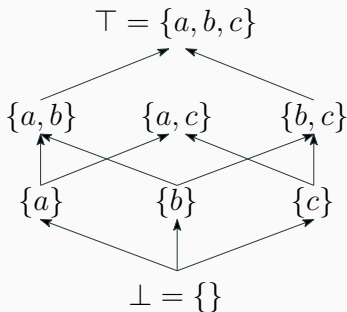
**Example.**

- For  $(P(B), \subseteq)$ :  $x \sqcap y = x \cap y$ ,  $x \sqcup y = x \cup y$
- For  $(\mathbb{Z}, \leq)$ :  $x \sqcap y = \min(x, y)$ ,  $x \sqcup y = \max(x, y)$

# Complete Lattice

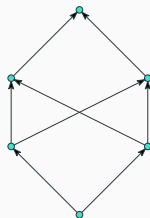
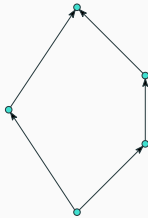
- **Complete lattice** is a poset in which any subset (finite or infinite) has a glb and a lub
  - Every finite lattice is complete
- A complete lattice must have:
  - a least element  $\perp$
  - a greatest element  $\top$

## Example: Power Set Lattice



# Exercise

- Which of the following posets are lattices?



- To show a poset is not a lattice, it suffices to find a pair that does not have a lub or a glb
- Two elements that don't have a lub or glb cannot be comparable
- View the upper/lower bounds on a pair as a sub-Hasse diagram:  
If there is no greatest/least element in this sub-diagram, then it is not a lattice

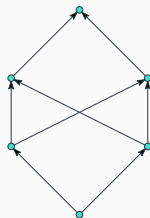
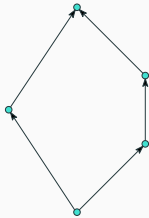


# Exercise

- Which of the following posets are lattices?



no



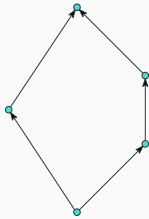
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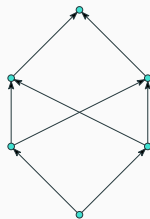
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yes ✓



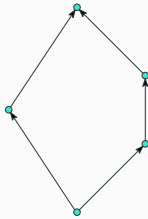
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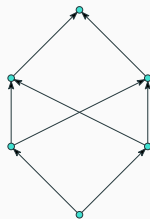
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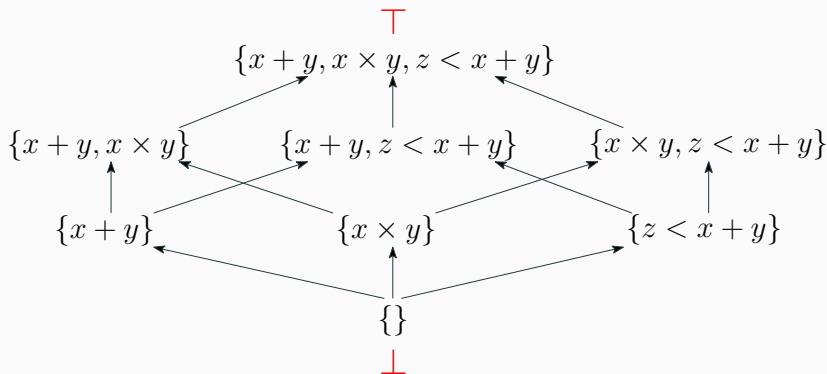
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- To show a poset is not a lattice, it suffices to find a pair that does not have a lub or a glb
- Two elements that don't have a lub or glb cannot be comparable
- View the upper/lower bounds on a pair as a sub-Hasse diagram: If there is no greatest/least element in this sub-diagram, then it is not a lattice

## Relation To Data-flow Analysis

- Information computed by e.g. live variable and available expressions analyses can be expressed as elements of lattices
- If  $x \leq y$  then  $x$  is less or equally precise as  $y$ 
  - i.e.,  $x$  is a conservative approximation of  $y$
- Top  $\top$ : most precise, best case information
- Bottom  $\perp$ : least precise, worst case information
- Merge function = glb (meet) on lattice elements
  - Most precise element that is a conservative approximation of both elements

## Example: Available Expressions



- Trivial answer with zero information, allows no optimization:  $\perp = \{\}$   
(No expression available)

## Example: Live Variables

- If  $V$  is the set of all variables in a program and  $P$  the power set of  $V$ , then  $(P, \supseteq)$  is a lattice
- Sets of live variables are elements of this lattice
- Trivial answer with zero information, allows no optimization:  $\perp = V$   
(All variables are live, nothing is dead)

- Assume information we want to compute in a program is expressed using a lattice  $L$
- To compute the information at each program point we need to:
  - Determine how each statement in the program changes the information
  - Determine how information changes at join/split points in the control flow

# Transfer Functions

- Data-flow analysis defines a transfer function  $F : L \rightarrow L$  for each statement in the program
- Describes how the statement modifies the information
- Consider  $in(S)$  as information before  $S$ ,  
and  $out(S)$  as information after  $S$
- Forward analysis:  $out(S) = F(in(S))$
- Backward analysis:  $in(S) = F(out(S))$



# Sequential Composition

- Consider statements  $S = S_1; \dots; S_n$  with transfer functions  $F_1, \dots, F_n$
- $in(S)$  is information at the beginning
- $out(S)$  is information after at the end

- Forward analysis:

$$out(S) = F_n(\dots(F_1(in(S)))) = F_n \circ \dots \circ F_1(in(S))$$

- Backward analysis:

$$in(S) = F_1(\dots(F_n(out(S)))) = F_1 \circ \dots \circ F_n(out(S))$$

# Split/Join Points

- Data-flow analysis uses meet/join operations at split/join points in the control flow
- Forward analysis:

$$in(S) = \bigsqcap \{out(S') \mid S' \in pred(S)\}$$

- Backward analysis:

$$out(S) = \bigsqcap \{in(S') \mid S' \in succ(S)\}$$