



# CSCI 742 - Compiler Construction

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Lecture 26

Subtyping

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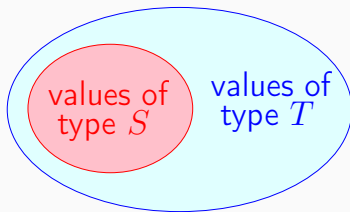
# Subtyping

**Type:** a set of values together with a set of valid operations on those values

**Subtype:**  $S <: T$

A value of type  $S$  may be used wherever a value of type  $T$  is expected

- $\text{values}(S) \subseteq \text{values}(T)$



- All operators valid on  $T$  values are valid on  $S$  values

## Subtyping Example

**Integer <: Object**

```
Object o = new Integer(13); // ok
Integer i = new Object(); // type error
```

**short <: int**

```
void f(int x) {
    x = 10;
}
short s = 0;
f(s); // ok
```

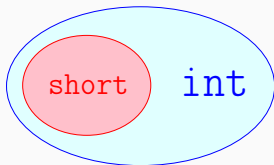
# Subtyping Rule

- Rule for subtyping: analogous to set reasoning

$$\frac{\Gamma \vdash e : T_1 \quad T_1 <: T_2}{\Gamma \vdash e : T_2}$$

In terms of sets

$$\frac{\Gamma \vdash e \in T_1 \quad T_1 \subseteq T_2}{\Gamma \vdash e \in T_2}$$



# Types for Positive and Negative Ints

$$\text{int} = \{\dots, -2, -1, 0, 1, 2, \dots\}$$

$$\text{pos} = \{1, 2, \dots\} \quad (\text{not including zero})$$

$$\text{neg} = \{\dots, -2, -1\} \quad (\text{not including zero})$$

types:

$$\text{pos} <: \text{int}$$

$$\text{neg} <: \text{int}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{pos}}{\Gamma \vdash x + y : \text{pos}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x \times y : \text{neg}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{pos}}{\Gamma \vdash x/y : \text{pos}}$$

sets:

$$\text{pos} \subseteq \text{int}$$

$$\text{neg} \subseteq \text{int}$$

$$\frac{\Gamma \vdash x \in \text{pos} \quad \Gamma \vdash y \in \text{pos}}{\Gamma \vdash x + y \in \text{pos}}$$

$$\frac{\Gamma \vdash x \in \text{pos} \quad \Gamma \vdash y \in \text{neg}}{\Gamma \vdash x \times y \in \text{neg}}$$

$$\frac{\Gamma \vdash x \in \text{pos} \quad \Gamma \vdash y \in \text{pos}}{\Gamma \vdash x/y \in \text{pos}}$$

## Rules for Positive and Negative Ints

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x + y : ???}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x \times y : ???}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x + y : ???}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x \times y : ???}$$

## Rules for Positive and Negative Ints

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x + y : \text{int}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x \times y : ???}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x + y : ???}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x \times y : ???}$$

## Rules for Positive and Negative Ints

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x + y : \text{int}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x \times y : \text{neg}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x + y : ???}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x \times y : ???}$$



## Rules for Positive and Negative Ints

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x + y : \text{int}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x \times y : \text{neg}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x + y : \text{int}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x \times y : ???}$$

## Rules for Positive and Negative Ints

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x + y : \text{int}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x \times y : \text{neg}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x + y : \text{int}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{int}}{\Gamma \vdash x \times y : \text{int}}$$

## More Rules

$$\frac{\Gamma \vdash x : \text{neg} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x \times y : \text{pos}}$$

$$\frac{\Gamma \vdash x : \text{neg} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x + y : \text{neg}}$$

More rules for division:

$$\frac{\Gamma \vdash x : \text{neg} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x/y : \text{pos}}$$

$$\frac{\Gamma \vdash x : \text{pos} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x/y : \text{neg}}$$

$$\frac{\Gamma \vdash x : \text{int} \quad \Gamma \vdash y : \text{neg}}{\Gamma \vdash x/y : \text{int}}$$

$$\frac{\Gamma \vdash x : \text{int} \quad \Gamma \vdash y : \text{pos}}{\Gamma \vdash x/y : \text{int}}$$

- Let  $x$  be a variable

$$\frac{\Gamma \vdash x : \text{int} \quad \Gamma \oplus \{(x, \text{pos})\} \vdash e_1 : \text{void} \quad \Gamma \vdash e_2 : \text{void}}{\Gamma \vdash (\text{if } (x > 0) e_1 \text{ else } e_2) : \text{void}}$$

$$\frac{\Gamma \vdash x : \text{int} \quad \Gamma \vdash e_1 : T \quad \Gamma \oplus \{(x, \text{neg})\} \vdash e_2 : \text{void}}{\Gamma \vdash (\text{if } (x \geq 0) e_1 \text{ else } e_2) : \text{void}}$$

## Exercise

Using type systems prove there is no division by zero in computing `res`  
(initial environment is  $\{(x, \text{int}), (y, \text{int}), (res, \text{int})\}$ )

```
if (y > 0) {  
  if (x > 0) {  
    res = 10 / (x*y);  
  }  
}
```

## Subtyping Example

- Does the last statement type check?

```
pos f(int x) {  
  if (x < 0) return -x; else return x+1;}
```

```
pos p;
```

```
int q;
```

```
q = f(p);
```

Initial environment:  $\Gamma = \{(q, \text{int}), (p, \text{pos}), (f, \text{int} \rightarrow \text{pos})\}$

$$\frac{\frac{(q, \text{int}) \in \Gamma}{\Gamma \vdash q : \text{int}} \quad \frac{\frac{\Gamma \vdash p : \text{pos} \quad \text{pos} <: \text{int}}{\Gamma \vdash p : \text{int}} \quad \Gamma \vdash f : \text{int} \rightarrow \text{pos}}{\Gamma \vdash f(p) : \text{pos}} \quad \text{pos} <: \text{int}}{\Gamma \vdash (q = f(p)) : \text{void}}$$

## Subtyping Example

- Does the last statement type check?

```
pos f(pos x) {  
    if (x < 0) return -x; else return x+1;}  
int p;  
int q;  
q = f(p);
```

- Rules for checking code must allow a subtype where a supertype was expected
- Old rule for assignment:

$$\frac{x : T \in \Gamma \quad \Gamma \vdash e : T}{\Gamma \vdash (x = e) : \text{void}}$$

- What do we need to change here?



- Rules for checking code must allow a subtype where a supertype was expected
- New rule for assignment:

$$\frac{\Gamma \vdash e : T' \quad T' <: T \quad (x, T) \in \Gamma}{\Gamma \vdash (x = e) : \text{void}} = \frac{\Gamma \vdash e : T' \quad T' <: T}{\Gamma \vdash e : T} + \frac{\Gamma \vdash e : T \quad (x, T) \in \Gamma}{\Gamma \vdash (x = e) : \text{void}}$$

# Type Checking in Practice

```
class Assignment extends Statement {
  Symbol x;
  Expression e;
  // ...
  Type typeCheck(Environment gamma) {
    Type tp = e.typeCheck(gamma);
    Type t = x.typeCheck(gamma);
    if (tp.subtype(t))
      return t;
    else
      throw new TypeError("type mismatch in assignment");
  }
}
```

$$\frac{\Gamma \vdash e : T' \quad T' <: T \quad (x, T) \in \Gamma}{\Gamma \vdash (x = e) : \text{void}}$$

# Parametrized Types

- Suppose we know that  $S <: T$  ( $S$  is a subtype of  $T$ )
  - Given a parameterized type constructor  $\text{TYCON}[T]$ , there are three possibilities for the relationship between  $\text{TYCON}[S]$  and  $\text{TYCON}[T]$
1. **Invariant:**  $\text{TYCON}[S]$  and  $\text{TYCON}[T]$  are unrelated
  2. **Covariant:**  $\text{TYCON}[S] <: \text{TYCON}[T]$
  3. **Contravariant:**  $\text{TYCON}[S] :> \text{TYCON}[T]$

# Subtyping for Products

- Type for a tuple

$$\frac{\Gamma \vdash e_1 : T_1 \quad \Gamma \vdash e_2 : T_2}{\Gamma \vdash (e_1, e_2) : T_1 \times T_2}$$

$$\frac{\frac{\Gamma \vdash e_1 : T_1 \quad T_1 <: T'_1}{\Gamma \vdash e_1 : T'_1} \quad \frac{\Gamma \vdash e_2 : T_2 \quad T_2 <: T'_2}{\Gamma \vdash e_2 : T'_2}}{\Gamma \vdash (e_1, e_2) : T'_1 \times T'_2}$$

- Covariant subtyping for Product of types

$$\frac{T_1 <: T'_1 \quad T_2 <: T'_2}{T_1 \times T_2 <: T'_1 \times T'_2}$$

## Analogy with Cartesian Product

$$\frac{T_1 <: T'_1 \quad T_2 <: T'_2}{T_1 \times T_2 <: T'_1 \times T'_2}$$

$$\frac{T_1 \subseteq T'_1 \quad T_2 \subseteq T'_2}{T_1 \times T_2 \subseteq T'_1 \times T'_2}$$

