

## Strings and Languages

“It is always best to start at the beginning”

-- Glynda, the good witch of the North

## What is a Language?

- A language is a set of strings made of symbols from a given alphabet.
- An alphabet is a finite set of symbols (usually denoted by  $\Sigma$ )
  - Examples of alphabets:
    - $\{0, 1\}$
    - $\{\alpha, \beta, \gamma, \delta, \varepsilon, \phi, \gamma, \eta\}$
    - $\{a, b, c, d, e, f, g, h, i, j, k, l, m, n, o, p, q, r, s, t, u, v, w, x, y, z\}$
    - $\{a\}$

## What is a string?

- A *string over  $\Sigma$*  is a finite sequence (possibly empty) of elements of  $\Sigma$ .
- $\varepsilon$  denotes the null string, the string with no symbols.
  - Example strings over  $\{a, b\}$ 
    - $\varepsilon, a, aa, bb, aba, abbba$
  - NOT strings over  $\{a, b\}$ 
    - $aaaa\dots, abca$

## The length of a string

- The length of a string  $x$ , denoted  $|x|$ , is the number of symbols in the string
  - Example:
    - $|abbab| = 5$
    - $|a| = 1$
    - $|bbbbbb| = 7$
    - $|\varepsilon| = 0$

## Strings and languages

- For any alphabet  $\Sigma$ , the set of all strings over  $\Sigma$  is denoted as  $\Sigma^*$ .
- A language over  $\Sigma$  is a subset of  $\Sigma^*$ 
  - Example
    - $\{a,b\}^* = \{\varepsilon, a, b, aa, bb, ab, ba, aaa, bbb, baa, \dots\}$
  - Example Languages over  $\{a,b\}$ 
    - $\{\varepsilon, a, b, aa, bb\}$   $\emptyset$
    - $\{x \in \{a,b\}^* \mid |x| = 8\}$   $\{x \in \{a,b\}^* \mid |x| \text{ is odd}\}$
    - $\{x \in \{a,b\}^* \mid n_a(x) = n_b(x)\}$   $\{\varepsilon\}$
    - $\{x \in \{a,b\}^* \mid n_a(x) = 2 \text{ and } x \text{ starts with } b\}$

## Concatenation of String

- For  $x, y \in \Sigma^*$ 
  - $xy$  is the concatenation of  $x$  and  $y$ .
    - $x = \text{aba}, y = \text{bbb}, xy = \text{ababbb}$
    - For all  $x, \varepsilon, x\varepsilon = x$
  - $x^i$  for an integer  $i$ , indicates concatenation of  $x$ ,  $i$  times
    - $x = \text{aba}, x^3 = \text{abaabaaba}$
    - For all  $x, x^0 = \varepsilon$

## Some string related definitions

- $x$  is a substring of  $y$  if there exists  $w, z \in \Sigma^*$  (possibly  $\varepsilon$ ) such that  $y = wxz$ .
  - $\text{car}$  is a substring of *carnage, descartes, vicar,* but not a substring of *charity*.
- $x$  is a suffix of  $y$  if there exists  $w \in \Sigma^*$  such that  $y = wx$ .
- $x$  is a prefix of  $y$  if there exists  $z \in \Sigma^*$  such that  $y = xz$ .

## Operations on Languages

- Since languages are simply sets of strings, regular set operations can be applied:
  - For languages  $L_1$  and  $L_2$  over  $\Sigma^*$ 
    - $L_1 \cup L_2 =$  all strings in  $L_1$  or  $L_2$
    - $L_1 \cap L_2 =$  all strings in both  $L_1$  and  $L_2$
    - $L_1 - L_2 =$  strings in  $L_1$  that are not in  $L_2$
    - $L' = \Sigma^* - L$

## Concatenation of Languages

- If  $L_1$  and  $L_2$  are languages over  $\Sigma^*$ 
  - $L_1L_2 = \{xy \mid x \in L_1 \text{ and } y \in L_2\}$
  - Example:
    - $L_1 = \{\text{hope, fear}\}$
    - $L_2 = \{\text{less, fully}\}$
    - $L_1L_2 = \{\text{hopeless, hopefully, fearless, fearfully}\}$

## Concatenation of Languages

- If  $L$  is a language over  $\Sigma^*$ 
  - $L^k$  is the set of strings formed by concatenating elements of  $L$ ,  $k$  times.
  - Example:
    - $L = \{\text{aa, bb}\}$
    - $L^3 = \{\text{aaaaaa, aaaabb, aabbaa, aabbbb, bbbbbb, bbbbaa, bbaabb, bbaaaa}\}$
    - $L^0 = \{\varepsilon\}$

## Kleene Star Operation

- The set of strings that can be obtained by concatenating any number of elements of a language  $L$  is called the Kleene Star,  $L^*$



- Note that since,  $L^*$  contains  $L^0$ ,  $\varepsilon$  is an element of  $L^*$

## Kleene Star Operation

- The set of strings that can be obtained by concatenating one or more elements of a language  $L$  is denoted  $L^+$



## Specifying Languages

- How do we specify languages?
  - If language is finite, you can list all of its strings.
    - $L = \{a, aa, aba, aca\}$
  - Using basic Language operations
    - $L = \{aa, ab\}^* \cup \{b\} \{bb\}^*$
  - Descriptive:
    - $L = \{x \mid n_a(x) = n_b(x)\}$

## Specifying Languages

- Next we will define how to specify languages recursively
- In future classes, we will describe how to specify languages by defining a mechanism for generating the language
- Any questions?

## Recursive Definitions

- Definition is given in terms of itself
  - Example (factorial)



$$\begin{aligned}
 4! &= 4 * 3! \\
 &= 4 * (3 * 2!) \\
 &= 4 * (3 * (2 * 1!)) \\
 &= 4 * (3 * (2 * (1 * 0!))) \\
 &= 4 * (3 * (2 * (1 * 1))) \\
 &= 24
 \end{aligned}$$

## Recursive Definitions and Languages

- Languages can also be described by using a recursive definition
  - Initial elements are added to your set (BASIS)
  - Additional elements are added to your set by applying a rule(s) to the elements already in your set (INDUCTION)
  - Complete language is obtained by applying step 2 infinitely

## Recursive Definitions and Languages

- Example:
  - Recursive definition of  $\Sigma^*$ 
    - $\epsilon \in \Sigma^*$
    - For all  $x \in \Sigma^*$  and all  $a \in \Sigma$ ,  $xa \in \Sigma^*$
    - Nothing else is in  $\Sigma^*$  unless it can be obtained by a finite number of applications of rules 1 and 2.

## Recursive Definitions and Languages

- Let's iterate through the rules for  $\Sigma = \{a,b\}$ 
  - $i=0$   $\Sigma^* = \{\epsilon\}$
  - $i=1$   $\Sigma^* = \{\epsilon, a, b\}$
  - $i=2$   $\Sigma^* = \{\epsilon, a, b, aa, ab, ba, bb\}$
  - $i=3$   $\Sigma^* = \{\epsilon, a, b, aa, ab, ba, bb, aaa, aab, aba, abb, baa, bab, bba, bbb\}$
- ...and so on

## Recursive Definitions and Languages

- Example:
  - Recursive definition of  $L^*$ 
    1.  $\epsilon \in L^*$
    2. For all  $x \in L$  and all  $y \in L$ ,  $xy \in L^*$
    3. Nothing else is in  $L^*$  unless it can be obtained by a finite number of applications of rules 1 and 2.

## Recursive Definitions and Languages

- Let's iterate through the rules for  $L = \{aa,bb\}$ 
  - $i=0$   $L^* = \{\epsilon\}$
  - $i=1$   $L^* = \{\epsilon, aa, bb\}$
  - $i=2$   $L^* = \{\epsilon, aa, bb, aaaa, aabb, bbbb, bbaa\}$
  - $i=3$   $L^* = \{\epsilon, aa, bb, aaaa, aabb, bbbb, bbaa, aaaaaa, aaaabb, aabbaa, aabbbb, bbbbaa, bbbbbb, \dots\}$
- ...and so on

## Recursive Definitions – another Example

- Example: Palindromes
  - A palindrome is a string that is the same read left to right or right to left
  - First half of a palindrome is a “mirror image” of the second half
  - Examples:
    - a, b, aba, abba, babbab.

## Recursive Definitions – another Example

- Recursive definition for palindromes (pal) over  $\Sigma$ 
  1.  $\epsilon \in \text{pal}$
  2. For any  $a \in \Sigma$ ,  $a \in \text{pal}$
  3. For any  $x \in \text{pal}$  and  $a \in \Sigma$ ,  $axa \in \text{pal}$
  4. No string is in pal unless it can be obtained by rules 1-3

## Recursive Definitions – another Example

- Let's iterate through the rules for pal over  $\Sigma = \{a,b\}$ 
  - $i=0$   $\text{pal} = \{\epsilon, a, b\}$
  - $i=1$   $\text{pal} = \{\epsilon, a, b, aaa, aba, bab, bbb\}$
  - $i=2$   $\text{pal} = \{\epsilon, a, b, aaa, aba, bab, bbb, aaaaa, aabaa, ababa, abbba, baaab, ababa, bbabb, bbbbb\}$

### Recursive Definitions – yet another Example

- Example: Fully parenthesized algebraic expressions (AE)
  - $\Sigma = \{ a, (, ), +, - \}$
  - All expressions where the parens match correctly are in the language
  - Examples:
    - $a, (a + a), (a + (a - a)), ((a + a) - (a + a)),$  etc.

### Recursive Definitions – yet another Example

- Recursive definition for AE
  1.  $a \in AE$
  2. For any  $x, y \in AE$   $(x + y)$  and  $(x - y) \in AE$
  3. No string is in pal unless it can be obtained by rules 1-2

### Recursive Definitions – yet another Example

- Let's iterate through the rules for AE
  - $i=0$   $AE = \{a\}$
  - $i=1$   $AE = \{a, (a+a), (a-a)\}$
  - $i=2$   $AE = \{a, (a+a), (a-a), (a + (a + a)), (a - (a + a)), (a + (a - a)), (a - (a - a)), ((a + a) + a), ((a + a) - a), \dots\}$

### Recursive Definitions – a final Example

- $L = \{x \in \{0,1\}^* \mid x = 0^i 1^j \text{ and } i \geq j \geq 0\}$ 
  - In English:
    - strings over the alphabet  $\{0,1\}$
    - each string contains zero or more 0's followed by a zero or more 1's
    - the number of 1's is greater than or equal to the number of 0's

### Recursive Definitions – a final Example

- $L = \{x \in \{0,1\}^* \mid x = 0^i 1^j \text{ and } i \geq j \geq 0\}$
- A recursive definition
  1.  $\epsilon \in L$
  2. For any  $x \in L$ , both  $0x$  and  $0x1 \in L$
  3. No strings are in  $L$  unless it can be obtained using rules 1-2.

Later we will prove that this definition does indeed describe  $L$ .

### Recursive Definitions and Languages

- Questions on Recursive Definition?
- Functions on strings and languages can also be defined recursively.

## Structural Induction

- When dealing with languages, it is sometime cumbersome to restate the problems in terms of an integer.
- For languages described using a recursive definition, another type of induction, structural induction, is useful.

## Structural Induction

- Principles
  - Suppose
    - U is a set,
    - I is a subset of U (BASIS),
    - Op is a set of operations on U (INDUCTION).
    - L is a subset of U defined recursively as follows:
      - $I \subseteq L$
      - L is closed under each operation in Op
      - L is the smallest set satisfying 1 & 2

## Structural Induction

- Then
    - To prove that every element of L has some property P, it is sufficient to show:
      1. Every element of I has property P
      2. The set of elements of L having property P is closed under Op
- #2: If  $x \in L$  has property P,  $Op(x)$  also must have property P

## Structural Induction

- Recall this recursive definition of a language L
    1.  $\epsilon \in L$
    2. For any  $x \in L$ , both  $0x$  and  $0x1 \in L$
    3. No strings are in L unless it can be obtained using rules 1-2.
- And:  
 $A = \{x \in \{0,1\}^* \mid x = 0^i1^j \text{ and } i \geq j \geq 0\}$   
Show  $L \subseteq A$  by structural induction

## Structural Induction

- Principles
  - Suppose
    - U is a set  $U = \{a,b\}^*$
    - I is a subset of U,  $I = \{\epsilon\}$
    - Op is a set of ops on U.  $Op = \{0x, 0x1\}$
    - L is a subset of U defined recursively as follows:
      - $I \subseteq L$
      - L is closed under each operation in Op
      - L is the smallest set satisfying 1 & 2.

## Structural Induction

- To prove that every element of L has some property P:
  - Our property is:  
 $A = \{x \in \{0,1\}^* \mid x = 0^i1^j \text{ and } i \geq j \geq 0\}$   
P(x) is true if  $x \in A$ .

## Structural Induction

– To prove that every element of L has some property P, it is sufficient to show:

1. Every element of I has property P

In our case, must show that  $\epsilon$  has property P, i.e.  $\epsilon \in A$ ,  $\epsilon = 0^i 1^j \cdot i \geq j \geq 0$

Once again, this is the case where  $i=j=0$

## Structural Induction

2. The set of elements of L having property P is closed under Op  
If  $x \in L$  has property P,  $Op(x)$  also must have property P

Assume x has property P,

$x \in A$ ,  $x = 0^i 1^j \cdot i \geq j \geq 0$

$Op1(x) = 0x$ , which is an element of A

$Op2(x) = 0x1$  which is an element of A

Similar proof to induction with no mention of an integer

## Structural Induction

- Questions?

## Summary

- Languages = set of strings
- Recursive Definition of Languages
- Structural Induction

## Questions?

- Any questions?
- Next Time:
  - Our first machine: The Finite Automata!